

BEST AVAILABLE COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Reply, please indicate the publication of:
Jose Sancho Royo, et al.

Serial No.: 08/961,956

Filed: 10/31/97

For: "CATALYTIC SYSTEMS FOR THE
POLIMERISATION AND COPOLIMERISATION
OF ALPHA-OLEFINS"

Group Art Unit: 1106

Examiner: unknown

Our Ref.: B-3379 616282-6

Date of this paper:

June 18, 1998

Commissioner of Patents and Trademarks
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

COMPLETION OF FILING REQUIREMENTS

Responsive to office letter dated February 13, 1998 (copy attached).

DECLARATION OR OATH

☒ Please find enclosed the original declaration or oath

AMENDMENT OF CLAIMS

☐ Please cancel claims _____ inclusive ✓

☐ Please find enclosed an amendment deleting multiple dependencies

NOTE: The filing fees, fees for and surcharge fees listed below are reduced by 50% where proof of a small entity status is established on or before the date the fee is paid. If the full fee is paid but a verified statement is filed within two months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

FEES

| | | |
|--|---------------------------|-----------------|
| <input checked="" type="checkbox"/> Filing fee | (37 CFR 1.16(a) - 790.00) | <u>\$790.00</u> |
| | small entity - 395.00) | |
| <input type="checkbox"/> Fees for claims | | |
| <input type="checkbox"/> Independent claims in excess of 3 | (37 CFR 1.16(b) - 82.00) | |
| | small entity - 41.00) | |
| <input type="checkbox"/> Each claim in excess of 20 | (37 CFR 1.16(c) - 22.00) | |
| | small entity - 11.00) | |

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the:

1998 SCARMICH 00000056
101
105
Commissioner of Patents & Trademarks
BOX MISSING PARTS
Washington, D.C. 20231
130 00 00

John Palmer

(Typed or printed name of person mailing paper)

Date: June 18, 1998.

[Signature]
(Signature of person mailing paper)

☐ Multiple dependent claim(s)
(37 CFR 1.16(d) - 270.00)
small entity - 135.00

☒ Surcharge fees

☒ Late payment of filing fee
(37 CFR 1.16(e) - 130.00)
small entity - 65.00

AND

☒ Late filing of declaration or oath
(37 CFR 1.16(e) - 130.00) \$130.00
small entity - 65.00

☐ Petition fee for filing by person not
the inventor (37 CFR 1.17(h) \$120.00)

☐ Recording assignment
(37 CFR 1.21(h) (i) 40.00)

☐ Attached is an assignment to _____

Total fees: \$920.00

SMALL ENTITY STATUS

☐ A verified statement that this filing is by a small entity is

☐ Attached ☐ Already filed on _____

PAYMENT OF FEES

☒ Enclosed is a check in the amount of \$920.00.

☐ Charge Account No. 12-0415 in the amount of \$_____.
A duplicate of this request is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

AUTHORIZATION TO CHARGE ADDITIONAL FEES

☒ The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 12-0415.

☒ 37 CFR 1.16 (filing fees and presentation of extra claims)

☒ 37 CFR 1.17 (application processing fees)

☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)).

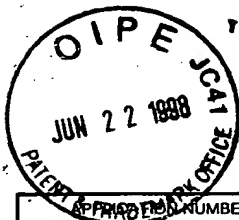
NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application... prior to paying... issue fee."

Registration No.: 36,885

Telephone No.: (213) 934-2300
Facsimile No.: (213) 934-0202


John Palmer
Attorney

c/o LADAS & PARRY
5670 Wilshire Boulevard
Suite 2100
Los Angeles, California 90036-5679



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Sector #B

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
|--------------------|---------------------|-----------------------|---------------------------|

08/961,956 10/31/97 ROYO J B-3379-61628

0242/0213

4/13/98

RICHARD P BERG
LADAS & PARRY
5670 WILSHIRE BOULEVARD
LOS ANGELES CA 90036

NOT ASSIGNED

1106
DATE MAILED:

02/13/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 920.00

☒ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.
- ☐ does not cover the newly submitted items.
- ☒ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☒ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

B. A. Chase
Customer Service Center
Initial Patent Examination Division (703) 308-1202